

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X	
	:
In re	:
	:
LEHMAN BROTHERS HOLDINGS INC., et al.,	:
	:
Debtors.	:
	:
-----X	
	Chapter 11 Case No.
	08-13555 (JMP)
	(Jointly Administered)
	Ref. Docket No. 32292

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 29, 2012, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
4<sup>th</sup> day of December, 2012  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: BANCO SANTANDER (SUISSE) S.A., AS AGENT  
ATTN: LEGAL DEPARTMENT  
5-7 AMI-LEVRIER  
GENEVA 1201 SWITZERLAND

Additional: BANCO SANTANDER (SUISSE) S.A., AS AGENT  
WILLIAM. M. GOLDMAN, ESQ.  
DLA PIPER  
1251 AVENUE OF THE AMERICAS  
NEW YORK NY 10020

Transferee: UNION BANCAIRE PRIVEE, UBP SA  
RUE DU RHONE 96-98  
CASE POSTALE 1320-1211  
GENEVA 1 SWITZERLAND

Your transfer of claim # 55692 is defective for the reason(s) checked below:

Expunged By Court Order

Docket Number 32292

Date 11/15/12

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 29, 2012.

## **EXHIBIT B**

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

TIME: 12:15:45  
DATE: 11/29/12

Name Address

BANCO SANTANDER (SUISSE) S.A., AS AGENT  
BANCO SANTANDER (SUISSE) S.A., AS AGENT  
UNION BANCAIRE PRIVEE, UBP SA

ATTN: LEGAL DEPARTMENT 5-7 AMT-LEVRIER GENEVA 1201 SWITZERLAND  
WILLIAM. M. GOLDMAN, ESQ. DIA PIER 1251 AVENUE OF THE AMERICAS NEW YORK NY 10020  
RUE DU RHONE 96-98 CASE POSTALE 1320-1211 GENEVA 1 SWITZERLAND

Total Number of Records Printed

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EPIQ BANKRUPTCY SOLUTIONS, LLC